## **Clinical evaluation of** early phase clinical trials in Oncology

FAHMP

BRUSSELS

15.09.2023

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# Early phase (phase I or I/II) clinical trials in Oncology

First evaluation of a new anticancer medicine in humans (more specifically early phase dose-finding trials)

- Monotherapy: first-in-class or not.
- Combinations:
  - investigational medicinal product (IMP) + approved MP;
  - IMP + IMP (novel-novel combinations);
  - IMP + other interventions (e.g. radiotherapy).

## **Clinical pharmacology trials**



## **Generally used objectives in dose-finding trials**

#### **Primary:**

- characterise the safety and tolerability;
- define dosage for further development, e.g. recommended phase II dose (RP2D), e.g. based on the maximum tolerated dose (MTD).

#### Secondary:

- evaluate PK\*/PD\*\*;
- evaluate antitumor activity, preliminary efficacy (e.g. response rate);
- others (e.g. biomarkers, biomarker substudies)

#### **\*PK aspects to consider:**

- PK sampling scheme, choice of the PK parameters, Cmax and AUC;
- derived from the plasma concentration-time profiles using standard noncompartmental methods.

#### **\*\*PD** aspects to consider:

- proof-of-mechanism (hit the target, PD markers);
- proof-of-activity (effect of hitting the target in the tumour, mechanismbased adverse events).



## Study population in oncology dose-finding trials

## Typical eligibility criteria (examples):

- patients with advanced tumors unresponsive to standard therapies or/no known effective treatment;
- performance status;
- specification on organ function, comorbidities, prior lines.

## **IMP-specific eligibility criteria may include:**

- restriction to certain patient populations (with rationale);
- specific functions informed by preclinical data: eg QTc, LVEF, hypertension ...;
- prohibited medication.



## **Dose selection principles in oncology** (dose-finding paradigm for cytotoxic agents)



**DLT:** dose-limiting toxicity. **MTD:** maximum tolerated dose.



Dose selection principles in oncology (evolving paradigm for non-cytotoxic agents)



**DLT:** dose-limiting toxicity. **MTD:** maximum tolerated dose. **RP2D:** recommended phase 2 dose.



## Various dose-finding designs in oncology

- Dose (de-)escalation and dose expansion parts.
- IMP-specific dose-limiting toxicities.
- Designs considering toxicity alone, toxicity and activity, totality of data.
- Different statistical operating characteristics (statistical properties of the design).

Traditional 3+3 design (rule/algorithm-based, all the rules pre-specified).



## Traditional 3+3 design



Time

#### **DLT:** dose-limiting toxicity.



## **Accelerated titration design**



**DLT:** dose-limiting toxicity



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#### **Based on assumptions**

- Monotonicity in increased toxicity and efficacy with increased dose (the higher the dose, the greater the likelihood of toxicity/efficacy; dose-related acute toxicity is regarded as a surrogate for efficacy, the highest safe dose considered as most likely efficacious).
- This dose-effect assumption is primarily for cytotoxic agents and may not apply to molecularly targeted agents.

#### Does not account for antitumor activity.

#### Does not account for late toxicities.



## Various dose-finding designs in oncology

Traditional 3+3 design (rule/algorithm-based, all the rules pre-specified).

Model-based designs (use statistical models to determine decision for the next dose). e.g. CRM (continual reassessment method), EWOC (escalation with overdose control).



## **Continual reassessment method (model-based)**



Subject/Date FAMHP/Entity/Division/Unit/Cell

## **Escalation with overdose control (model based)**



Subject/Date FAMHP/Entity/Division/Unit/Cell

## Various dose-finding designs in oncology

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Model-based designs (use statistical models to determine decision for the next dose). e.g. CRM (continual reassessment method), EWOC (Escalation with overdose control).

Model-assisted designs (mixture of the previous designs, dose escalation/de-escalation rules determined using a statistical model before conducting the trial). e.g. mTPI (modified toxicity parameter interval) and

BOIN (Bayesian optimal interval).



## **Conclusions for dose-finding trials goals**

#### **Ensure favourable benefit-risk:**

- for patients in the trial (starting dose, stopping rules, minimise exposure to subtherapeutic doses and overexposure);
- for further development (maximise the efficacy, minimise the toxicity);
- aiming at optimal dosage to the extent possible, totality of data.

#### **Ensure/discuss optimal operating characteristics:**

- probability of correctly identifying MTD, probability of treating patients at doses above the MTD, sample size justification;
- efficiency of the development in terms of resources and increasing probability of success in later development.

#### Justify the choice of the design at the CTA submission.

## Thank you for your attention!

## **Questions?**





#### Contact

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